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PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number (Optional) Our Case No. 9281-4673 Client Ref. No. S US02286		
I hereby certify that this correspondence is being filed electronically with the U.S. Patent and Trademark Office on October, 2006.	Application Num 10/736,924	Application Number: Filed: 12/15/2003 10/736,924		
Gustavo Siller, Jr., Reg. No. 32,305  Name of Applicant, Assignee or  Registered Representative	First Named Inventor: Yasuhiro Ikarashi			
Signature	Art Unit; 2817	Examiner: Shingleton, Michael B.		
Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.				
This request is being filed with a Notice of Appeal.				
The review is requested for the reason(s) stated on the attached sheet(s).  Note: No more than five(5) pages may be provided.				
I am the				
applicant/inventor.	Signature			
□ assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	v	•		
attorney or agent of record.  Registration number		Siller, Jr. Printed Na	me	
	312-321 Telephone			
Note: Signatures of all inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below	<u>October</u> Date .*	4,200	6	
★Total of 3 forms are submitted.  ★ 1 *Total of 3 forms are submitted.  ★ 2 *Total of 3 forms are submitted.  ★ 2 *Total of 3 forms are submitted.  ★ 3 *Total of 3 forms are submitted.  ★ 3 *Total of 3 forms are submitted.  ★ 3 *Total of 3 forms are submitted.  ★ 4 *Total of 3 forms are submitted.  ★ 5 *Total of 3 forms are submitted.  ★ 6 *Total of 3 forms are submitted.  ★ 7 *Total of 3 forms are submi				

## CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being filed electronically with the U.S. Patent and Trademark Office on October, 2006.
Gustavo Siller, Jr., Reg. No. 32,305  Name of Applicant, Assignee or  Registered Representative
Signature

Our Case No. 9281-4673 Client Ref. No. S US02286

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:	)
	Yasuhiro IKARASHI	)
Seria	l No.: 10/736,924	) Examiner: Shingleton, Michael B.
Filing	Date: 12/15/2003	) ) Group Art Unit: 2817
For:	SIGNAL GENERATOR CAPABLE OF VARYING FREQUENCY OF AN OUTPUT SIGNAL OVER A WIDE RANGE	) ) )

## PRE-APPEAL BRIEF REQUEST FOR REVIEW

MS/ AF Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

This paper is submitted to accompany a Notice of Appeal. The remarks begin on page 2.

Serial No.: 10/736,924

## REMARKS

The final Office action issued on July 5, 2006, and a traversal of the rejections was submitted by the Applicant on August 31, 2006. An advisory action issued on September 15, 2006, maintaining the rejections.

The Examiner maintained the rejection of all of the pending claims: Claims 1, 5-21, 23-26 and 28-46.

Specifically, Claims 1, 5-12, 14 20, 26 and 28 were rejected under 35 U.S.C. §103 (a) as being unpatentable over Hsieh (US 6,737,927; "Hsieh'), in view of Segawa et al. (US 6,442,818; "Segawa"), Park "A low-noise 900-MHz VCO in 0.6um CMOS" ("Park"), Kobayashi et al (US 5,311,402; "Kobayashi"), and Kertis et al. (US 6,650,194; "Kertis"); and, Claims 30-34, and 36-41 were rejected under 35 U.S.C. §103 (a) as being unpatentable over Berquist et al. (US 6,542,044; "Berquist") in view of Van Amesfoort (US 5,712,596; "Van Amesfoort") and Segawa.

In the advisory action, the Examiner stated, at item 11, that the request for reconsideration does not place the application in condition for allowance because "[t]he definition of terminal that the applicant sets forth is more narrow than the broadest <u>reasonable</u> interpretation." [emphasis added]. As this is the aspect of the Applicant's traverse that was addressed in the advisory action, it must be presumed for the purposes of this paper, that the other grounds of rejection are moot. Nevertheless, the Applicant reiterates the remainder of the traverse presented in the paper of August 31, 2006.

"Claims must be read in view of the specification, of which they are a part." Phillips v. AWH Corp., 415 F.3d 1303, 1315 (Fed. Cir. 2005) (en banc) (internal quotations omitted). The specification is "[u]sually . . . dispositive" and "is the single best guide to the meaning of a disputed term." Id. In this case, however, the specification may not define the term "terminal" explicitly, but it may be argued that the specification and drawings define the terms implicitly, and would permit a person of ordinary skill in the art to differentiate between a connection and a terminal, particularly an "input terminal" and an "output terminal" as recited in Claim 1.

Where neither an explicit nor an implicit definition is found in the application, it is appropriate to look to dictionary definitions of the terms. See Phillips, 415 F.3d at 1322 ("Dictionaries or comparable sources are often useful to assist in understanding the commonly understood meaning of words and have been used

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both by our court and the Supreme Court in claim interpretation."). For the purposes of this paper, the Examiner's conclusion that the word "terminal" is not defined explicitly or implicitly in the specification is accepted.

The Examiner has maintained that "the examiner must give the broadest reasonable meaning to the claims consistent with the specification and the examiner must give the plain meaning to the terms where these terms are not specifically defined in the specification." (Office action of July 5, 2006 at page 10, 4<sup>th</sup> full paragraph).

The "plain meaning" or "commonly understood meaning" of a word would be understood by a person of ordinary skill in the art to be a dictionary definition, and not merely an expression of the Examiner's interpretation or opinion. In the paper submitted August 31, 2006, an extract from the Webster's Collegiate Dictionary 10<sup>th</sup> Edition was appended, and the extract is also appended to this paper for reference.

The Examiner asserted in the final rejection (page 10, 4<sup>th</sup> full paragraph, beginning at line 4) that:

A terminal can be any point of electrical connection. Most certainly a line between elements is contacted by the elements and this line is a terminal. A node is a terminal.

Webster's dictionary states (in a technologically appropriate one of the meanings) that a terminal is:

a <u>device</u> attached to the end of a wire or cable or to an electrical apparatus for convenience in making connections. [emphasis added]

A "point" is not a "device", and since the terminal is defined by the dictionary as being attached to a "wire or cable", it cannot be the wire, cable, or line itself.

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The Examiner may consider the actual comparison of the definition used in the rejection and the dictionary definition to be merely a matter of opinion, but the lack of substantiation for the Examiner's proposed definition is a clear error, and the rejection should be withdrawn.

Respectfully submitted,

Gustayo Siller, Jr.

Registration No. 32,305 Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200 tequila sunrise n (1965) : a cocktail consisting of tequila, orange juice, and erenadine

and grenadine
ter-comb form [L. fr. ter; akin to Gk & Skt tris three times, L tres three
—more at THREE]: three times: threefold: three (tercentenary)
tera-comb form [ISV, fr. Gk terat-, teras monster]: trillion (terawatt)
tera-byte 'tera-byte' /n (1982): a unit of computer-information storage
capacity equal to about one trillion bytes; specif: 1,099,511,627,776

te-ral \to-ri\ n [Tarai, lowland belt of India] (1883) : a wide-brimmed double felt sun hat worn esp. in subtropical regions ter-aph 'feer-ai' n, p ter-a-phim \ter-p-rim [Heb thipphim (pl. in form but sing, in meaning)] (14c) : an image of a Semitic household god terrat-or terrato-comb form (K. fr. terat, teras marvel, portent, monster]: developmental malformation (terrato-genic) terrato-cen-class \ter-a-to-jcan-class \ter-a-to-jcan-class \ter-a-to-jcan-class \ter-a-to-genic \ter-a-to-den \ter-a-to-genic \ter-a-t

ghst \-fist\ n \text{ter-\$-to-ms\ n [NL] (1879): a tumor made up of a heterogeneous mixture of tissues texa-wait \text{ter-\$-wait\ n (1970): a unit of power equal to one trillion

waits
ter-bi-sum \'tor-bō-om\ n [NL, fr. Yherby, Sweden] (1843); a usu. trivalent metallic element of the rare-earth group — see ELEMENT table
terce \'tors\ n. often cap [ME, third, terce — more at THERCE] (14c): the
third of the canonical hours
ter-cel \'tor-soi\ var of THERCEL.

ter-cel Ver-sell var of TIERCEL

ter-cen-te-mary \tar-(ben-\*te-mo-re, ()tor-'sen-t\*n-re-\*e\ n, pl -ries
(1855): a 300th anniversary or its celebration — tercemtenary adj

ter-cen-ten-mi-el \tar-(ben-\*te-mo-al\ adj or n (1872): TERCENTENARY

ter-cen-ten-mi-el \tar-(ben-\*te-mo-al\ adj or n (1872): TERCENTENARY

ter-cen-ten-mi-el \tar-(ben-\*te-mo-al\ adj or n (1872): TERCENTENARY

ter-cen-ten-mi-el \tar-(ben-\*te-mo-al\ rima\ b: one of three lines of verse: a: one of the
3-line stanzas in terza rima\ b: one of the two groups of three lines

forming the sested in an Italian sonnet

ter-e-blath \tar-2-\bin(t)th\ n [ME terebynt, fr. MF terebinthe, fr. Lere
ter-e-blath \tar-2-\bin(t)th\ n [ME terebynt, fr. MF terebinthe, fr. Lere
ter-e-blath \tar-2-\bin(t)th\ n [ME terebynt, fr. MF terebinthes \tar-tre-din-\frac{1}{10}\]

ter-e-blath \tar-2-\bin(t)th\ n, pl terebos or ter-ed-l-mes\ \tar-tre-din-\frac{1}{10}\]

[ME, fr. Leredin, teredo, fr. Gk teredos or ter
more at throw(144c): shipworm

ter-phthal-ate\ \tar-2-\bin(t)\ \tar-1\frac{1}{10}\]

ter-phthal-ate\ \tar-2-\bin(t)\ \tar-1\frac{1}{10}\]

ter-phthal-ate\ \tar-2-\bin(t)\ \tar-2-\b

phthalic acid; ssp: a dimethyl-ester that is a major starting material for polyester fibers and coatings tere-phthalic acid; ssp: a dimethyl-ester that is a major starting material for polyester fibers and coatings tere-phthalic acid; (1857): a p-dicarboxylic acid Caff.Co. that is obtained esp. by oxidation of xyleic and is used chiefly in the synthesis of polyesters terrete \to-\text{vet}, \text{to}\adi[L] terret. tere well turned, rounded; akin to L. terret to rub.—more at THROW] (ca. 1619): approximately cylindrical hut usu. tapering at both ends (a ~ secupod). Thereus' tir-yid, 'Eartis' a [L. fr. Gk Tereus'] the husband of Procne who rapes his sister-in-law Philomela ter-gife \text{to}\text{vir-yid, 'Eartis' a, IL, fr. Gk Tereus'] the husband of Procne who rapes his sister-in-law Philomela ter-gife \text{to}\text{vir-yid, 'RoTis' and 'IL, fr. Gk Tereus'] the husband of Procne who rapes his sister-in-law Philomela ter-gife \text{to}\text{vir-yid, 'RoTis' and 'Il, fr. Gk Tereus'] the husband of Procne who rapes his sister-in-law Philomela ter-gife \text{vir-yid, 'RoTis' and 'Il, fr. Gk Tereus'] to the sister-in-law Philomela ter-gife \text{vir-yid, 'RoTis' and 'Il, fr. Gk Tereus'] to the sister-in-law Philomela ter-gife \text{vir-yid, 'RoTis' and 'Il, fr. Gk Tereus'] to the order of oral portion of the covering of a metameric segment of an arthropod; esp : one on the abdomen

ter-gife ver-sate \text{vor-jo-vir-sit}; tor-ji-vir-sit, 'gir-ji-vir-sit, 'gir-ji-vi

tar'n
ter-gi-ver-sa-tion \tar-, ji-var-'sā-shan, -gi-; ,tor-ji-(,)var-\ n (1570) 1
: evasion of straightforward action or clear-cut statement: EQUIVOCATION 2: descrition of a cause, position, party, or faith
ter-gum \tar-gan\ n, p! ter-ga \-ga\ [NL, fr. L, back] (ca. 1826): the
dorsal part or plate of a segment of an arthropod — ter-gal \-ga\ adj
ter-i-yak (\tar-t-\sigma^2-ke\ n | pr. teri glaze + pade broil [1962]: a Japanese dish of meat or fish that is grilled or broiled after being soaked in

ter-k-yakit \ter-k-yakit\ n Ip, fr. keri glaze + yakit broill (1962): a Japanese dish of meat or fish that is grilled or broiled after being soaked in a seasoned soy sauce marinade letrm \text{'torm\ n} [ME terms boundary, end, fr. OF, fr. L terminus; akin to Gk termon boundary, end, skt tamman top of a post] (13c) 1 a : END, TERMINATION; also : a point in time assigned to something (as a payment) b : the time at which a pregnancy of normal length terminates \( \text{(had her baby at full } \infty \) 2 a : a limited or definite extent of time; exp: the time for which something lasts: DURATION, TENURE (\sigma of fice) (lost money in the short \sigma b: the whole period for which an estate is granted; also: the estate or interest held by one for a term c: the time during which a court is in session 3pl: provisions that determine the nature and scope of an agreement: CONDITIONS (\sigma sold sale) (liberal credit \sigma b 4 a: a word or expression that has a precise meaning in some uses or is peculiar to a science, art, profession, or subject (legal \sigma b p l: expression of a specified kind (described in glowing \sigma b is a unitary or compound expression connected with another by a plus or minus sign b : an element of a fraction or proportion or of a series or sequence 6pl a: mutual relationship: FOOTING (on good \sigma b: AGREEMENT, CONCORD (come to \sigma 7: any of the three substantive elements of a syllogism 8: a quadrangular pillar often tapering downward and adorned on the top with the figure of a head or the upper part of the body 9: division in a school year during which instruction is regularly given to students — in terms of: with respect to or in relation to (thinks of everything in terms of money) own one's own terms: in accordance with one's wishes: in one's own way (prefers to live on his own terms) to a direct or of the scaling of the part of the pa

early English drama as a violent character 2: an overbearing \*\*\*

carly English drama as a violent character 2: an overbearing winging woman: SHREW

termagant and (1596): OVERBEARING, SHREWISH
a political office or in prison) (a first ~)
terminable terminer, fr. Literminare) (150): capable of being wee
od — terminable-mess n — terminable by bliff, and
terminable terminel, for-mo-n'll and (Literminalis, fr. terminalis
i a: of or relating to an end, extremity, boundary, or terminalis
pillar) b: growing at the end of a branch or stem (a ~ bud)
relating to, or occurring in a term or each term (~ payment
close to death: being in the final stages of a fatal disease (a ~ bud)
relating ultimately to death: RATAL (~ cancer) c: approval
close to death: being in the final stages of a fatal disease (a ~ bud)
constituting the end of a period or series: CONCLUDNO (the
ments of life) b: not intended as preparation for further work (a ~ curriculum) sym sec LAST — terminalisity and
terminal n (1831) i: a part that forms the end: EXTREMITY,
NATION 2: a terminating usu, ornamental detail; FINIAL 3 is
attached to the end of a wire or cable or to an electrical appare
convenience in making connections 4 a: either end of a serhaving facilities for the handling of freight and passengers b: is
or passenger station that is central to a considerable area'or serjunction at any point with other lines e: a town or city at the
carrier line: TERMINUS 5: a combination of a keyboard shall
device (as a video display unit) by which data can be entered
output from a computer or electronic communications system
terminal side n (1927): a straight line that has been rotated used
terminal side n (1927): a straight line that has been rotated used
point on another line to form an angle measured in a closer

ter-mi-ma-tor\\_naf-tor\ n (1770) 1: the dividing line between minated and the unilluminated part of the moon's or a plant's one that terminates ter-mi-molo-egy\\_tar-mo-'nā-lo-jê\\_n, pl-gles [ML\_terminus] pression (fr. L., boundary, limit) + E -o- + -logy| (1801) 1: the pression (fr. L., boundary, limit) + E -o- + -logy| (1801) 1: the pression (fr. L., boundary, limit) + E -o- + -logy| (1801) 1: the pression of the minimum of the pression of the minimum of the pression of the minimum of the pression of the pression of the minimum of the pression of the minimum of the minimum of the pression of the minimum of the mi

i-tary \tor-ma-,ter-ë, -,mī-,ter-ë\ n, pi -tar-ies (1826) : 📆

time termite 'ter-mit' n [NL Termit, Termes, genus of termites, worm that eats wood, alter. of L turmit-, turmes; akin to Gk to bore — more at THROW [1781] any of numerous pale-color bodied social insects (order isoptera) that live in colonies cause, of winged sexual forms, wingless sterile workers, and solding to wood, and include some which are very destructive to structures and trees — called also white ant terms-less 'term-less' 'term-less' 'term-less' 'term-less' 'term-less' 'term-less' 'term-less' and it is sold as a sold as

Sermi-less (Torth-loss) and (Ca. 1941) A maying as the control of the control of

an often forked tail ar often forked tail
ter-marry 'tor-no-ré\ adj [ME, fr. L. ternarius, fr. terni three est
to L tres three — more at THRBE] (15c) 1 a : of, relating to, ceeding by threes b : having three elements, parts, or divisions:
ranged in threes (~ petals) 2 : using three as the base (a \*
rithm) 3 a : being or consisting of an alloy of three elements
relating to, or containing three different elements, atoms, rass
groups (sulfuric acid is a ~ acid) 4 : third in order or rank
ter-maile 'tor-, nail, -nat', adj [NL ternatus, fr. ML, pp. of ternare
ble, fr. L terni] (1750) : arranged in threes or in subdivisions
ranged (a ~ leaf) — ter-mate-ly adv

The name of the state of the st

be halsams — ter-pene-less \-las\ adj

'pē\ adj or n

fine-ol \tar-'pi-nē-jēl, -jōl\ n [ISV, fr.

sy of three fragrant isomeric alcohols

so made artificially and used esp. in p

poly-mer \tar-'pā-lo-mər\ n (1947): a

ir results from copolymerization of thr

psicho-re \tar-'pā-is-ko-()rē\ n [L. fr.

or of dancing and choral song

sucho-re-an \tar-(pa-()si-ko-'rē-an; -so
irlating to dancing

ww'ter-a\ n pl ter-pae \-(,)ē, -j\ [N].

www.\ter-a\ n, pl ter-rae \-(,)e, -,i\ [NL, | suively light-colored highland areas on

Mively light-colored highland areas on met mace hier-ask n [MF, pile of earth, pizes, fr. terra earth, fr. L, earth, land; z we at THRST] (1515) Ia: a colonnade most or open platform c: a relative sound a building 2 a: a raised embasion one of usu. a series of horizontal rid saw cultivatable land, conserve moisturest ordinarily narrow plain usu, with second or sea; also: a similar undersea feat attents on raised ground or a sloping: c: a strip of park in the middle of a sestimus d: structure a section of the for standing spectators

thrubs d: STREET 5: a section of the for standing spectators

sec vt ter-raced; ter-raceing (1615)
altiside) with a terrace 2: to make int

section of the decrease 4: the dec

which is a loss of the land of lan pe physical features of a tract of land 2 bewindge or interest: TERRITORY b: 6 for incognita Vice-o-jin-kāg-'nê-ta, -l-pal-tae Vice-ji-jin-kāg-'nê-ta, -in-'kāg-'nê-ta, -in-'kāg-'nê-tae Vice-jin-kāg-'nê-ny-cin \uter-o-'ml-s'n\uterain' \uterain' \u

h la

ph-pin \'ter-a-pan, 'tar-\ n [of Algon
pm origin; akin to Delaware (dial. of

y York) to lpew, a kind of turtle]

to any of various aquatic turtles

puly Emydidae); esp: DIAMONDBACK

11): any of various aquatic turties sally Emydidae); esp: DIAMONDBACK

BADEN

\*\*\*eque-ous \te-\fis-\text{kwe-ss, to-, -ra-\ adj}

\*\*\*eru land + E aqueous\ (ca. 1658): con
\*\*\*sego of land and water

\*\*\*er-\ lamb \ta-\ l

or a campart where guns are mounted and campart where guns are mounted guns are mounted guns are mounted guns are reserved. Tres-chal. -Ire guns carth — more at TERRACE] (15c) is to its inhabitants (~ magnetism) is inhabitants (~ magnetism) is inhabitants (~ magnetism) is inhabitants (~ magnetism) is inhabitant are like some composition) (the ~ planets that are like some composition) (the ~ planets the some some strength in terres-trially advised the soft of order some strength in the unclus, alter, of order some single guns of the soft in the soft in

Mon-lous \te-'ri-ka-las, ta-\ *adj* [L *tern* Mon-*colere* to inhabit — more at WH681 Found